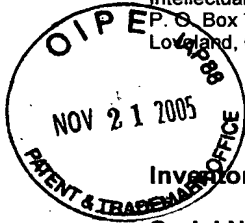


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Intellectual Property Administration
P. O. Box 7599
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ATTORNEY DOCKET NO. 70030473-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Tajul Arosh Baroky, et al.

Serial No.: 10/609160

Examiner: Karabi Gurahay

Filing Date: Jun 27, 2003

Group Art Unit: 2879

Title: White Light Emitting Device

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☒ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with *either* a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), *and*
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. _____, filed _____, now U.S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

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☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: Leonor S. Tuck

Signature: Leonor S. Tuck

Respectfully submitted,

Tajul Arosh Baroky, et al.

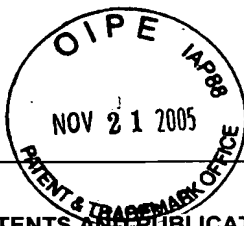
By Michael J. Beck

Michael J. Beck
Attorney/Agent for Applicant(s)

Reg. No. 40,907

Date: November 11, 2005

Telephone No. 650 485 3864



Form PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

70030473-01

SERIAL NO.

10/609160

APPLICANT

Tajul Arosh Baroky, et al.

FILING DATE

June 27, 2003

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		US2001/0050371A1	12/13/2001	Odaki, et al.,
		5,998,925	12/07/1999	Shimizu, et al.,

FOREIGN PATENT DOCUMENT

	DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO	
	EP 1 150361 A1	04/23/2001	Regina Mueller-Mach		
	GB 2373368 A	12/03/2001	Wang Nang Wang		
	DE 19638667 A1	09/20/1996	Peter Schlotter, et al.,		
	WO 00/63977	04/06/2000	Gerard Harbers, et al.,		
	DE 10036940 A1	07/28/2000	Dieter Bokor, et al.,		

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

Page 1 of 2

Form PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTORNEY DOCKET NO. 70030473-01	SERIAL NO. 10/609160
	APPLICANT Tajul Arosh Baroky, et al.	
	FILING DATE June 27, 2003	GROUP

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS		
EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME

FOREIGN PATENT DOCUMENT					
		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO
		WO 03/034508	04/24/2004	Kunihiro Izuno, et al.,	

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)		
EXAMINER		DATE CONSIDERED

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)